

SIRIS Data Dictionary

Financial Aid Data Submission Elements

Include every student awarded financial aid in any category for the Award Year (FS005). Include those who have or do not have an ISIR record, those who did or did not enroll, and those whose aid was offered, accepted, or disbursed.

HEADER INFORMATION

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Describes Batch Submission Type. |
|---|--|---|--|
| HH010 | Submission Form | N(3,0) | Required |
| <i>Codes - ID/Name/Description</i> | | | |
| 001 | Course | Indicates Course Submission Data Elements. | |
| 002 | Term/Section | Indicates Term/Section Submission Data Elements. | |
| 003 | ESS (Student) | Indicates Early Student Submission Data Elements. | |
| 004 | Degree | Indicates Degree Submission Data Elements. | |
| 005 | Financial Aid | Indicates Financial Aid Submission Data Elements. | |
| 006 | Student Revenue | Indicates Student Revenue Submission Data Elements. | |
| 007 | Student Survey | Indicates Student Survey Submission Data Elements. | |
| 008 | NY Alert | Indicates NY Alert Submission Data Elements. | |
| 030 | EOT (Student) | Indicates End of Term Student Submission Data Elements. | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Submission Form must be a valid code. Cannot be blank. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Describes the submission is full replacement or an update. Required |
|---|--|--|---|
| HH020 | Batch Action Code | N(3,0) | |
| <i>Codes - ID/Name/Description</i> | | | |
| 001 | Update | Indicates the batch submission is to update records. | |
| 002 | Full Submission Replace | Indicates the batch submission is a full replacement | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Batch Action Code must be a valid code. Cannot be blank. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Identifies the header record. Required |
|---|---|-----------------------|--|
| HH030 | Header Indicator | C(1) | |
| <i>Codes - ID/Name/Description</i> | | | |
| X | Contact | Contact for the batch | |
| S | SUNY Campus | SUNY Campus Code | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | There must be at least one contact. | | |
| Fatal | Header Indicator must be a valid code. Cannot be blank. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Indicate if the contact is the primary contact. Required |
|---|----------------------------------|--|--|
| HC010 | Primary Contact Indicator | B(1) | |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | Yes | The contact record is the primary contact. | |
| 0 | No | A non-primary contact record. | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| <i>Business Rules – Error Level/Description</i> | | |
|---|--|--|
| Warning | | There can only be one primary contact |
| Fatal | | Primary Contact Indicator must be a valid code. Cannot be blank. |
| Fatal | | Must have one contact per batch set to primary (1). |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Name of Contact. Format is first name and last name, separated by a space, e.g., “John Doe”. Required |
|---|-----------------------------|--|---|
| HC020 | Primary Contact Name | C(50) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | | Primary Contact Name minimum length must be greater than 5 characters. | |
| Fatal | | Required Element; cannot be blank. | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Title of the Contact Person. Optional |
|---|--------------|-------|---|
| HC030 | Title | C(50) | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Email address of the primary contact person/group. Optional |
|---|----------------------|--------|---|
| HC040 | Email Address | C(100) | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> UserID of the contact. Optional |
|---|---------------|-------|---|
| HC050 | UserID | C(25) | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Unique identifier assigned to the SUNY campus submitting the record (see Appendix A-1). Required |
|---|-------------------------------|---|--|
| FH010 | SUNY Campus Identifier | N(5,0) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | | Must be a valid code from the campus identifier list in Appendix A-1. | |
| Fatal | | Required Element; cannot be blank. | |

STUDENT IDENTIFICATION

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Indicates whether to add or delete the Financial Aid record. Required |
|---|----------------------------------|------|---|
| FS001 | Financial Aid Action Code | C(1) | |

| <i>Codes - ID/Name/Description</i> | | |
|------------------------------------|--------|--------------------------------|
| A | Add | Add a full or a subset record. |
| D | Delete | Delete a full record. |

| <i>Business Rules – Error Level/Description</i> | | |
|---|--|--|
| Fatal | | Cannot (A)dd a record when it already exists. |
| Fatal | | Cannot (D)delete a non-existing record. |
| Fatal | | Financial Aid Action Code must be a valid code. Cannot be blank. |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> The award/academic year for the Financial Aid record. Format is 9999-99. Enter the beginning award year as four digits, a dash, then the ending award year as two digits. For example, 2008-09. Required |
|---|-------------------|------|--|
| FS005 | Award Year | C(7) | |

| <i>Business Rules – Error Level/Description</i> | | |
|---|--|--|
|---|--|--|

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | |
|---------|--|
| Fatal | First four digits must be a valid year. |
| Fatal | Format must be 9999-99. |
| Fatal | Required Element; cannot be blank. |
| Warning | Beginning Award Year must be within 4 years of current year. |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> A unique identifier assigned by System Administration to SUNY students. System Administration uses this ID for student tracking. This field in the data submission will be populated with the correct SUNY ID from the SUNY Student Repository (See Appendix P), or with null if no match could be found, regardless of the value submitted. Contingent |
|---|---|---------|---|
| FS010 | Student's SUNY ID | N(10,0) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Either the SUNY ID or the Student's Key Identifying Data Elements (see Appendix P) are required for all students with an Award Amount Disbursed (FA090) > 0. | | |
| Warning | If provided, Student's SUNY ID and one of the Student Key Identifying Data Elements (See Appendix P) must match those in the SUNY Student Repository System. | | |
| Warning | If Student's SUNY ID is not provided, the Student's Key Identifying Data Elements (See Appendix P) must match those in the SUNY Student Repository System for every student with an Award Amount Disbursed (FA090) > 0. | | |
| Fatal | (DTS Submission error) There are duplicate SUNY IDs in the submission. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> The ID assigned by a campus for identification of a person on the local campus financial aid system. Any record containing a duplicate Person's Financial Aid ID within a financial aid data submission will overwrite the previous record. Required |
|---|------------------------------------|-------|--|
| FS020 | Person's Financial Aid ID | C(20) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required Element; cannot be blank. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> The Student ID assigned by a campus for use on the local data system. System Administration will use for student identification and record matching as needed. Could have the same value as Person's Financial Aid ID (FS020). Contingent |
|---|---|-------|---|
| FS030 | Student's Local ID | C(20) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required if Student's SUNY ID (FS010) is submitted. | | |
| Fatal | Required for all students with an Award Amount Disbursed (FA090) > 0. | | |
| Fatal | If submitted, must be a unique ID for each student within a financial aid data submission. Evaluated in Submission Dashboard. | | |
| Fatal | (DTS Submission error) There are duplicate Student's Local IDs in the submission. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> The nine digit number assigned by the Social Security Administration. No other number is acceptable. Null for international students without SSN. Optional |
|---|-------------------------------|------|--|
| FS040 | Social Security Number | C(9) | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | |
|--|---|
| <i>Business Rules – Error Level/Description</i> | |
| Fatal | If submitted, SSN must meet the current requirements of the Social Security Administration. |
| Fatal | (DTS Submission error) There are duplicate SSNs in the submission. |

| | | |
|--|--|--|
| <i>Element ID/Name/Format/Description</i> | | <i>Description:</i> The date of birth with two digit month, two digit day and four digit year. Contingent |
| FS050 | Date of Birth | MMDDYYYY |
| <i>Business Rules – Error Level/Description</i> | | |
| Fatal | Required if a valid Student’s SUNY ID (FS010) is not provided and a valid Social Security Number (FS040) is not provided, and if there is an Award Amount Disbursed (FA090) > 0. | |
| Warning | Required if a valid Student’s SUNY ID (FS010) is not provided and a valid Social Security Number (FS040) is not provided, and if there is an Award Amount Offered (FA070) > 0 or an Award Amount Accepted (FA080) > 0. | |
| Fatal | If submitted, must be valid month, day and year. | |
| Fatal | If submitted, student’s age cannot be less than 10 or greater than 100. | |

| | | |
|--|--|---|
| <i>Element ID/Name/Format/Description</i> | | <i>Description:</i> Student’s gender. Contingent |
| FS060 | Gender | C(1) |
| <i>Codes – ID/Name/Description</i> | | |
| M | Male | Male |
| F | Female | Female |
| <i>Business Rules – Error Level/Description</i> | | |
| Warning | Required if a valid Student’s SUNY ID (FS010) is not provided and if there is an Award Amount Disbursed (FA090) > 0. | |
| Warning | Required if a valid Student’s SUNY ID (FS010) is not provided and if there is an Award Amount Offered (FA070) > 0 or an Award Amount Accepted (FA080) > 0. | |
| Fatal | If submitted, must be a valid code. | |

| | | |
|--|--|---|
| <i>Element ID/Name/Format/Description</i> | | <i>Description:</i> Student's last name. Will be used for matching. Contingent |
| FS070 | Last Name | C(60) |
| <i>Business Rules – Error Level/Description</i> | | |
| Fatal | Required if First Name (FS080) is blank. | |

| | | |
|--|-------------------------|---|
| <i>Element ID/Name/Format/Description</i> | | <i>Description:</i> Student's previous last name, such as maiden name. Will be used for matching. Optional |
| FS075 | Former Last Name | C(60) |

| | | |
|--|---|--|
| <i>Element ID/Name/Format/Description</i> | | <i>Description:</i> Student's first name. Will be used for matching. Contingent |
| FS080 | First Name | C(60) |
| Fatal | Required if Last Name (FS070) is blank. | |

| | | |
|--|--------------------------|---|
| <i>Element ID/Name/Format/Description</i> | | <i>Description:</i> If the individual previously was reported under a different first name, report it here. Optional |
| FS085 | Former First Name | C(60) |

| | | |
|--|-----------------------|--|
| <i>Element ID/Name/Format/Description</i> | | <i>Description:</i> Student’s middle initial. Will be used for matching. Optional |
| FS090 | Middle Initial | C(1) |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

FAMILY DEMOGRAPHIC (from FAFSA / ISIR records)
 For elements reported on ISIR records in which blank is a valid value (FD020 – FD150):
 enter one or more spaces OR one or more pound signs (#) to indicate blank.

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|--|----------|---|
| FD000 | Earliest FAFSA Date Created | MMDDYYYY | The date the earliest FAFSA record was created by the institution. FAFSA records are not required for programs defined as Veterans' Education Benefits in section 480(c) of the Higher Education Act (HEA), listed here: http://www.ifap.ed.gov/eannouncements/081309GuidFedVeteransEdBenefits.html Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required if Award Program (FA010) is Federal, except for Veterans' Education Benefits. | | |
| Fatal | If submitted, must be valid month, day and year. | | |
| Fatal | If submitted, must be earlier than or the same as Current FAFSA Date Created (FD005). | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|--|----------|---|
| FD005 | Current FAFSA Date Created | MMDDYYYY | The date the active (paid on) FAFSA record was created by the institution. FAFSA records are not required for programs defined as Veterans' Education Benefits in section 480(c) of the Higher Education Act (HEA), listed here: http://www.ifap.ed.gov/eannouncements/081309GuidFedVeteransEdBenefits.html Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required if Award Program (FA010) is Federal, except for Veterans' Education Benefits. | | |
| Fatal | If submitted, must be valid month, day and year. | | |
| Fatal | Required if Earliest FAFSA Date Created (FD000) is submitted. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|---|--|
| FD010 | Student's Dependency Status | C(1) | Dependency Status as reported on the ISIR (see Appendix S). Contingent |
| <i>Codes - ID/Name/Description</i> | | | |
| D | Dependent | Dependent, calculated Expected Family Contribution (EFC) provided | |
| I | Independent | Independent, calculated Expected Family Contribution (EFC) provided | |
| X | Dependent Rejected | Dependent rejected, no EFC calculated | |
| Y | Independent Rejected | Independent rejected, no EFC calculated | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, must be a valid code. Cannot be blank. | | |
| Fatal | If Current FAFSA Date Created (FD005) is submitted, then Student's Dependency Status is required. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|--|------|--|
| FD020 | Parents' Number of Family Members | C(2) | Number of people in parents' household, including student, parents, and parents' other dependents, as reported on the ISIR (see Appendix S for the ISIR field) |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | | | |
|--|--|--|----------------------------------|
| | | | reference crosswalk). Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Warning | Parents' Number of Family Members is required if Student's Dependency Status (FD010) is Dependent (D). | | |
| Fatal | If submitted, Parents' Number of Family Members valid values are 00 – 99, blank. ¹ | | |
| Warning | If submitted, Parents' Number of Family Members is above 10. | | |

| | | | |
|--|--|------|---|
| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Number of children in parents' household in college (includes the student and excludes parents). As reported on the ISIR (see Appendix S for the ISIR Field reference crosswalk). Contingent |
| FD030 | Children in College | C(1) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Warning | Children in College is required if Student's Dependency Status (FD010) is Dependent (D). | | |
| Fatal | If submitted, Children in College valid values are 0 – 9, blank. ¹ | | |

| | | | |
|--|---|------|--|
| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Number of people in student's household, including student, spouse, children the student supports, and other people the student supports, as reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| FD033 | Student's Number of Family Members | C(2) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Warning | Student's Number of Family Members is required if Award Year (FS005) is 2011-12 or later and if Student's Dependency Status (FD010) is Independent (I). | | |
| Fatal | If submitted, Student's Number of Family Members valid values are 00 – 99, blank. ¹ | | |
| Warning | If submitted, Student's Number of Family Members is above 10. | | |

| | | | |
|--|--|------|---|
| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Number of people in student's household, including student, spouse and dependents, who will attend college at least half-time. As reported on the ISIR (see Appendix S for the ISIR Field reference crosswalk). Contingent |
| FD036 | Student's Number in College | C(1) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Warning | Student's Number in College is required if Award Year (FS005) is 2011-12 or later and if Student's Dependency Status (FD010) is Independent (I). | | |
| Fatal | If submitted, Student's Number in College valid values are 0 – 9, blank. ¹ | | |

| | | | |
|--|---|----------|---|
| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Derived element based on the Parent 1 Date of Birth and the Parent 2 Date of Birth as reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| FD040 | Date of Birth of the Older Parent | CCYYMMDD | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Warning | Date of Birth of the Older Parent is required if Student's Dependency Status (FD010) is Dependent (D). | | |
| Fatal | If submitted, Date of Birth of the Older Parent format is CCYYMMDD, 19000101 to 19991231, blank. ¹ | | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | |
|---------|--|
| Warning | If submitted, Date of Birth of the Older Parent results in an age older than 70. |
|---------|--|

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> As reported on the ISIR(see Appendix S for the ISIR field reference crosswalk). Optional |
|---|--|------|--|
| FD050 | Parent 1 Highest Grade Level Completed | C(1) | |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | Middle School | | Middle School/Jr. High |
| 2 | High School | | High School |
| 3 | College | | College or Beyond |
| 4 | Other | | Other/Unknown |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Parent 1 Highest Grade Level Completed valid values are 1 – 4, blank. ¹ | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Optional |
|---|--|------|---|
| FD060 | Parent 2 Highest Grade Level Completed | C(1) | |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | Middle School | | Middle School/Jr. High |
| 2 | High School | | High School |
| 3 | College | | College or Beyond |
| 4 | Other | | Other/Unknown |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Parent 2 Highest Grade Level Completed valid values are 1 – 4, blank. ¹ | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
|---|--|------|---|
| FD070 | Parents' Marital Status | C(1) | |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | Married | | Married/remarried |
| 2 | Never married | | Never married |
| 3 | Divorced/separated | | Divorced/separated |
| 4 | Widowed | | Widowed |
| 5 | Unmarried and both parents living together | | Unmarried and both parents living together |
| <i>Business Rules – Error Level/Description</i> | | | |
| Warning | Parents' Marital Status is Required if Student's Dependency Status (FD010) is Dependent (D). | | |
| Fatal | If submitted, Parents' Marital Status valid values are 1 – 4,5, blank. ¹ | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Indicates whether the student is married. As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
|---|--|------|---|
| FD080 | Is Student Married | C(1) | |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | Yes | | Yes |
| 2 | No | | No |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Is Student Married valid values are 1 – 2, blank. ¹ | | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | |
|---------|--|
| Warning | Is Student Married is required if Current FAFSA Date Created (FD005) is submitted. |
|---------|--|

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|--|------|--|
| FD090 | Born Before Date | C(1) | Indicates whether the student was born before MM-DD-CCYY (indicator of age < 24, for Dependency Status). As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | Yes | Yes | |
| 2 | No | No | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Born Before Date valid values are 1 – 2, blank. ¹ | | |
| Warning | Born Before Date is required if Current FAFSA Date Created (FD005) is submitted. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|--|
| FD100 | Have Children | C(1) | Indicates whether the student has children s/he supports (indicator of Dependency Status). As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | Yes | Yes | |
| 2 | No | No | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Have Children valid values are 1 – 2, blank. ¹ | | |
| Warning | Have Children is required if Current FAFSA Date Created (FD005) is submitted. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------------------------|--|
| FD120 | Housing Plans | C(1) | Housing plans while attending the reporting SUNY school. As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | On-Campus | On-Campus | |
| 2 | Off-Campus-with Family | With parent | |
| 3 | Off-Campus-Independent | Off-campus independent | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Housing Plans valid values are 1 – 3, blank. ¹ | | |
| Warning | Housing Plans is required if Current FAFSA Date Created (FD005) is submitted. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|--|------|---|
| FD123 | Student's Permanent Zip Code | C(5) | Student's permanent zip code. As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Student's Permanent Zip Code valid values are 00000 – 99999, blank. ¹ | | |
| Warning | Student's Permanent Zip Code is required if Current FAFSA Date Created (FD005) is submitted. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---------------------------|------|--|
| FD125 | Student's State of | C(2) | Student's state of legal residence. As reported on the ISIR (see Appendix S for the ISIR field |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | | | |
|--|---|--|----------------------------------|
| | Legal Residence | | reference crosswalk). Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Student’s State of Legal Residence valid values are uppercase letters A to Z, valid two-letter postal code, blank. ¹ | | |
| Warning | State of Legal Residence is required if Current FAFSA Date Created (FD005) is submitted. | | |

| | | | |
|--|--|--|--|
| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Student’s grade level in college. As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| FD130 | Grade Level in College | C(1) | |
| <i>Codes - ID/Name/Description</i> | | | |
| 0 | First time first year | Never attended college and 1 st year undergraduate | |
| 1 | Other time first year | Attended college before and 1 st year undergraduate | |
| 2 | Second year | 2 nd year undergraduate/sophomore | |
| 3 | Third year | 3 rd year undergraduate/junior | |
| 4 | Fourth year | 4 th year undergraduate/senior | |
| 5 | Fifth year | 5 th year/other undergraduate | |
| 6 | First year graduate | 1 st year graduate/professional | |
| 7 | Continuing graduate | Continuing graduate/professional or beyond | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Grade Level in College valid values are 0 – 7, blank. ¹ | | |
| Warning | Grade Level in College is required if Current FAFSA Date Created (FD005) is submitted. | | |

| | | | |
|--|--|--|---|
| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Degree or certificate the student is working on. As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| FD140 | Degree/Certificate | C(1) | |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | First bachelor | 1 st bachelor’s degree | |
| 2 | Second bachelor | 2 nd bachelor’s degree | |
| 3 | Associate occupational or technical | Associate degree (occupational or technical program) | |
| 4 | Associate general ed or transfer | Associate degree (general education or transfer program) | |
| 5 | Certificate under 2 years | Certificate or diploma (occupational, technical or education program of less than two years) | |
| 6 | Certificate over 2 years | Certificate or diploma (occupational, technical or education program of two or more years) | |
| 7 | Teaching | Teaching credential (nondegree program) | |
| 8 | Graduate | Graduate or professional degree | |
| 9 | Other | Other/undecided | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Degree/Certificate valid values are 1 – 9, blank. ¹ | | |
| Warning | Degree/Certificate is required if Current FAFSA Date Created (FD005) is submitted. | | |

| | | | |
|--|--------------------------|------|---|
| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Enrollment status. As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| FD150 | Enrollment Status | C(1) | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| <i>Codes - ID/Name/Description</i> | | |
|---|---|---|
| 1 | Full-time | Full-time: at least 12 credit hours in a term |
| 2 | Three-quarter-time | Three-quarter-time: at least 9 credit hours in a term |
| 3 | Half-time | Half-time: at least 6 credit hours in a term |
| 4 | Less than half-time | Less than half-time |
| 5 | Don't know | Don't know |
| <i>Business Rules – Error Level/Description</i> | | |
| Fatal | If submitted, Enrollment Status valid values are 1 – 5, blank. ¹ | |
| Warning | Enrollment Status is required if Current FAFSA Date Created (FD005) is submitted and if Award Year (FS005) is 2010-11 or earlier. | |

FAMILY FINANCIAL (from FAFSA / ISIR records)
 For elements reported on ISIR records in which blank is a valid value (FF010 – FF100):
 enter one or more spaces OR one or more pound signs (#) to indicate blank.

| <i>Element ID/Name/Format/Description</i> | | | <i>Description: As reported in the Parent 1 income earned from work on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent</i> |
|---|--|------|---|
| FF010 | Parent 1 Income Earned from Work | C(8) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Parent 1 Income valid values are -9999999 to 9999999, blank. ¹ | | |
| Warning | Parent 1 or Parent 2 Income is required if Student's Dependency Status (FD010) is Dependent (D). | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description: As reported in the Parent 2 income earned from work on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent</i> |
|---|--|------|---|
| FF020 | Parent 2 Income Earned from Work | C(8) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Parent 2 Income valid values are -9999999 to 9999999, blank. ¹ | | |
| Warning | Parent 1 or Parent 2 Income is required if Student's Dependency Status (FD010) is Dependent (D). | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description: As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent</i> |
|---|--|------|---|
| FF030 | Spouse's Income Earned from Work | C(8) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Spouse's Income valid values are -9999999 to 9999999, blank. ¹ | | |
| Warning | Spouse's Income is required if Student's Dependency Status (FD010) is Independent (I) and Student's Marital Status (FD080) is Yes (001). | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description: As reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent</i> |
|---|--|------|---|
| FF040 | Student's Income Earned from Work | C(8) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Student's Income valid values are -9999999 to 9999999, blank. ¹ | | |
| Warning | Student's Income is required if Student's Dependency Status (FD010) is Dependent (D) or Independent (I). | | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|--|------|--|
| FF050 | Parents' AGI | C(8) | Parents' adjusted gross income from IRS form as reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Parents' AGI valid values are -9999999 to 9999999, blank. ¹ | | |
| Warning | Parents' AGI is required if Student's Dependency Status (FD010) is Dependent (D). | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|---|
| FF060 | Student's AGI | C(8) | Student's adjusted gross income from IRS form as reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Student's AGI valid values are -9999999 to 9999999, blank. ¹ | | |
| Warning | Student's AGI is required if Student's Dependency Status (FD010) is Dependent (D) or Independent (I). | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|--|------|--|
| FF065 | FTI | C(9) | FTI: FISAP (Fiscal Operations Report and Application to Participate) Total Income, as reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). For dependent students, FTI is the sum of Total Income (TI) and Student's Total Income (STI). For independent students, the FTI will equal the TI. Used with other ISIR values to report Income Level for IPEDS SFA Survey. Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, FTI valid values are -99999999 to 99999999, Blank = None calculated. | | |
| Fatal | FTI is required if Award Year (FS005) is 2011-12 or later, if Student's Dependency Status (FD010) is Dependent (D) or Independent (I), and if Award Program (FA010) is Federal. | | |
| Fatal | FTI cannot be blank if Award Year (FS005) is 2011-12 or later, if Student's Dependency Status (FD010) is Dependent (D), and if these elements are all blank: Parents' AGI (FF050), Student's AGI (FF060), Parent 1 Income (FF010), Parent 2 Income (FF020) and Student's Income (FF040). | | |
| Fatal | FTI cannot be blank if Award Year (FS005) is 2011-12 or later, if Student's Dependency Status (FD010) is Independent (I), and if these elements are all blank: Student's AGI (FF060), Student's Income (FF040) and Spouse's Income (FF030). | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|--|
| FF070 | Primary EFC | C(6) | The amount of the primary 9-month Expected Family Contribution (EFC) as reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Primary EFC valid values are 000000 to 999999, Blank=None calculated. ¹ | | |
| Fatal | Primary EFC is required if Student's Dependency Status (FD010) is Dependent (D) or Independent (I). | | |
| Warning | Primary EFC cannot be blank if Student's Dependency Status (FD010) is Dependent (D) or Independent (I). | | |
| Fatal | Primary EFC must be blank or 0 if Student's Dependency Status (FD010) is Dependent | | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | |
|--|---|
| | Rejected (X) or Independent Rejected (Y). |
|--|---|

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|--|------|--|
| FF080 | Secondary EFC | C(6) | The amount of the secondary 9-month Expected Family Contribution (EFC) as reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Optional |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Secondary EFC valid values are 000000 to 999999, Blank = None calculated. ¹ | | |
| Fatal | If submitted, Secondary EFC must be blank or 0 if Student's Dependency Status (FD010) is Dependent Rejected (X) or Independent Rejected (Y). | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|--|
| FF090 | Total Student's Contribution | C(7) | Total Student's Contribution (TSC) as reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Total Student's Contribution valid values are 0000000 to 9999999, Blank = None calculated. ¹ | | |
| Fatal | Total Student's Contribution is required if Student's Dependency Status (FD010) is Dependent (D) or Independent (I). | | |
| Fatal | Total Student's Contribution cannot be blank if Student's Dependency Status (FD010) is Dependent (D) or Independent (I). | | |
| Fatal | Total Student's Contribution must be blank or 0 if Student's Dependency Status (FD010) is Dependent Rejected (X) or Independent Rejected (Y). | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|---|
| FF100 | Total Parents' Contribution | C(7) | Total Parents' Contribution (TPC) as reported on the ISIR (see Appendix S for the ISIR field reference crosswalk). Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If submitted, Total Parents' Contribution valid values are 0000000 to 9999999, Blank = None calculated. ¹ | | |
| Fatal | Total Parents' Contribution is required if Student's Dependency Status (FD010) is Dependent (D). | | |
| Fatal | Total Parents' Contribution cannot be blank if Student's Dependency Status (FD010) is Dependent (D). | | |
| Fatal | Total Parents' Contribution must be blank or 0 if Student's Dependency Status (FD010) is Independent (I), Dependent Rejected (X) or Independent Rejected (Y). | | |

Cost of Attendance: Submit the total yearly Cost of Attendance (FC120) for this student's record, if available, and submit 1 – 5 **COA Term(s)** (FC125) for which this Cost has been calculated. If required, submit breakdown of costs (FC130 – FC190).

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---------------------------|------|--|
| FC120 | Cost of Attendance | C(9) | The yearly cost of attendance (allowances for financial aid purposes) as determined by the reporting campus. Cost of Attendance does not need to be broken out by term. Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | |
|---------|--|
| Fatal | Cost of Attendance is required and must be > 0. Exclude from this fatal error students whose Award Programs are exclusively 35-60, Federal Veterans' Education Benefits. (After May 1 st , 2016, this will become a fatal error.) |
| Fatal | If submitted, Cost of Attendance valid values are 000000 or 000000.00, to 999999 or 999999.99. |
| Fatal | If cost breakdown elements are provided (FC130-FC190), Cost of Attendance must be equal to the sum of those elements. |
| Warning | If Cost of Attendance is submitted, the sum of Award Amounts Offered (FA070) cannot exceed Cost of Attendance. Exclude from this warning offers from programs that have no accepted or disbursed amounts, and offers from Award Program 35-60, Federal Veterans' Education Benefits. |
| Warning | If Cost of Attendance is submitted, the sum of Award Amounts Accepted (FA080) cannot exceed Cost of Attendance. Exclude from this warning accepted amounts from Award Program 35-60, Federal Veterans' Education Benefits. |
| Warning | If Cost of Attendance is submitted, the sum of Award Amounts Disbursed (FA090) cannot exceed Cost of Attendance. Exclude from this warning disbursed amounts from Award Program 35-60, Federal Veterans' Education Benefits. |
| Warning | Cost of Attendance should not be greater than \$200,000. |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---------------------------------|------|---|
| FC122 | Housing Plans for Budget | C(1) | Housing plans while attending the reporting SUNY school, for which the Cost of Attendance (FC120) has been calculated. Value may be different from Housing Plans (FD120). This element will be used together with Housing Plans (FD120) for IPEDS SFA Survey reports. Required for Award Year 2011-12 and later |
| <i>Codes - ID/Name/Description</i> | | | |
| 1 | On-Campus | | On-Campus |
| 2 | Off-Campus-with Family | | With Parent |
| 3 | Off-Campus-not with Family | | Off-Campus not with family |
| 4 | Off-Campus-Unknown | | Off-Campus with OR not with family. Use this code if the budgeted costs do not differentiate between off-campus with family and off-campus not with family. |
| 5 | Unknown | | Unknown |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| <i>Business Rules – Error Level/Description</i> | |
|--|--|
| Fatal | Required Element for Award Year 2011-12 or later; cannot be blank. |
| Fatal | If submitted, Housing Plans for Budget valid values are 1 – 5. |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Indicates the tuition rate that was used to calculate the student’s most recent aid package. Will be used for IPEDS SFA reporting. IPEDS instructions state, “If your institution does not offer different rates, report all students as paying in-state tuition rates.” Required for Award Year 2011-12 and later |
|--|-------------------------------|------|---|
| FC123 | Tuition Rate Indicator | C(1) | |

| <i>Codes – ID/Name/Description</i> | | |
|---|--------------------------------|--|
| 1 | In-District | Student is paying in-district tuition rates; a student who is a legal resident of the locality in which he/she attends school and thus is entitled to reduced tuition charges if offered by the institution. |
| 2 | In-State | Student is paying in-state tuition rates; a student who is a legal resident of the state in which he/she attends school. |
| 3 | Out-of-State | Student is paying out-of-state tuition rates; a student who is not a legal resident of the state in which he/she attends school. |
| 4 | Unknown | Student’s tuition rate is unknown. |
| 5 | Out-of-State Distance Learning | A student enrolled at a State University of New York (SUNY) State-operated campus who: a) for a given term is enrolled exclusively in online distance learning course(s); and b) has not had an address within the borders of New York State at any point within July 1st and June 30th of a given State-operated campus fiscal year. The distance learning interaction may be synchronous (student and instructor are in communication at the same time), or asynchronous, or a combination of the two. (Draft definition, official not yet finalized.) |

| <i>Business Rules – Error Level/Description</i> | |
|--|--|
| Fatal | Required element for Award Year 2011-12 or later; cannot be blank. |
| Fatal | If submitted, Tuition Rate Indicator valid values are 1-5. |
| Fatal | If State-Operated, cannot report In-District (1). |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> The academic term for which the Cost of Attendance (FC120) was calculated. Enter 1 for the summer in the first calendar year of the award year, and 5 for the summer in the second calendar year. For example, 1 for 2009-10 indicates summer 2009, and 5 indicates summer 2010. Contingent |
|--|-----------------|------|--|
| FC125 | COA Term | C(1) | |

| <i>Codes - ID/Name/Description</i> | | |
|---|-----------|--|
| 1 | Summer | Summer, year one of Award Year (FS005) |
| 2 | Fall | Fall |
| 3 | Winter | Winter |
| 4 | Spring | Spring |
| 5 | Summer_II | Summer, year two of Award Year (FS005) |

| <i>Business Rules – Error Level/Description</i> | |
|--|--|
| Fatal | If Cost of Attendance (FC120) is submitted, COA Term is a required element; cannot be blank. |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | |
|---------|---|
| Fatal | If submitted, COA Term valid values are 1 – 5. |
| Fatal | If submitted, each record must contain at least 1 and no more than 5 COA Term(s). Duplicate values are not allowed. |
| Warning | If Summer II COA Term was reported in the prior year, a COA Summer I Term shouldn't be used in this submission. |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|---|
| FC130 | Tuition | C(9) | The tuition (allowances for financial aid purposes) as determined by the reporting campus. Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required for all records where Award Year (FS005) is 2011-12 or later and Cost of Attendance (FC120) is submitted; cannot be blank. | | |
| Warning | Recommended for all records where Award Year (FS005) is earlier than 2011-12. | | |
| Fatal | If submitted, Tuition valid values are 000000 or 000000.00, to 999999 or 999999.99. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|--|
| FC140 | Student Fees | C(9) | The student fees (allowances for financial aid purposes) as determined by the reporting campus. Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required for all records where Award Year (FS005) is 2011-12 or later and Cost of Attendance (FC120) is submitted; cannot be blank. | | |
| Warning | Recommended for all records where Award Year (FS005) is earlier than 2011-12. | | |
| Fatal | If submitted, Student Fees valid values are 000000 or 000000.00, to 999999 or 999999.99. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|--|
| FC150 | Room and Board | C(9) | The cost of room and board (allowances for financial aid purposes) as determined by the reporting campus. Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required for all records where Award Year (FS005) is 2011-12 or later and Cost of Attendance (FC120) is submitted; cannot be blank. | | |
| Warning | Recommended for all records where Award Year (FS005) is earlier than 2011-12. | | |
| Fatal | If submitted, Room and Board valid values are 000000 or 000000.00, to 999999 or 999999.99. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|--|
| FC160 | Books and Supplies | C(9) | The cost of books and supplies (allowances for financial aid purposes) as determined by the reporting campus. Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required for all records where Award Year (FS005) is 2011-12 or later and Cost of Attendance (FC120) is submitted; cannot be blank. | | |
| Warning | Recommended for all records where Award Year (FS005) is earlier than 2011-12. | | |
| Fatal | If submitted, Books and Supplies valid values are 000000 or 000000.00, to 999999 or 999999.99. | | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|---|
| FC170 | Personal Expenses | C(9) | The personal expenses (allowances for financial aid purposes) as determined by the reporting campus. Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required for all records where Award Year (FS005) is 2011-12 or later and Cost of Attendance (FC120) is submitted; cannot be blank. | | |
| Warning | Recommended for all records where Award Year (FS005) is earlier than 2011-12. | | |
| Fatal | If submitted, Personal Expenses valid values are 000000 or 000000.00, to 999999 or 999999.99. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|--|
| FC180 | Transportation | C(9) | The cost of transportation (allowances for financial aid purposes) as determined by the reporting campus. Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required for all records where Award Year (FS005) is 2011-12 or later and Cost of Attendance (FC120) is submitted; cannot be blank. | | |
| Warning | Recommended for all records where Award Year (FS005) is earlier than 2011-12. | | |
| Fatal | If submitted, Transportation valid values are 000000 or 000000.00, to 999999 or 999999.99. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|------|--|
| FC190 | Other Expenses | C(9) | Other expenses (allowances for financial aid purposes) as determined by the reporting campus. Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Contingent |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required for all records where Award Year (FS005) is 2011-12 or later and Cost of Attendance (FC120) is submitted; cannot be blank. | | |
| Warning | Recommended for all records where Award Year (FS005) is earlier than 2011-12. | | |
| Fatal | If submitted, Other Expenses valid values are 000000 or 000000.00, to 999999 or 999999.99. | | |

FINANCIAL AID AWARDS

Award Program: Submit 1 – n Award Program(s) (FA010 – FA050) according to the financial aid package entered for this record. For each Award Program, submit 1 – 5 **Award Term(s)** (FA060). For each Award Term, submit at least one **Award Amount** (FA070 – FA090).

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|---|--------|--|
| FA010 | Award Program | N(3,0) | Financial Aid Award Program (See Appendix T). Required |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Required Element; cannot be blank. | | |
| Fatal | At least one Award Program must be reported. Duplicate values other than 999 are not allowed. | | |
| Fatal | Award Program value must be a valid code. | | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | |
|-------|---|
| Fatal | If Award Program is FFEL (23, 24, 27, 29), Award Year (FS005) must be 2009-10 or earlier. |
| Fatal | If Award Program is Federal Veterans' Education Benefits (33), Award Year (FS005) must be 2012-13 or earlier. |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> If Award Program (FA010) is Other, enter the name of the financial aid program. Contingent |
|---|---|-------|--|
| FA020 | Other Award Name | C(30) | |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | Must be null if Award Program (FA010) is not Other (999). | | |
| Fatal | Required if Award Program (FA010) is Other (999). Duplicate values with the same Other Award Source (FA030), Other Award Bases (FA040) and Other Award Type of Aid (FA050) are not allowed. | | |
| Fatal | If Award Year (FS005) is 2011-12 or 2012-13, report Federal Veterans' Education Benefits under Award Program 33. | | |
| Fatal | If Award Year (FS005) is 2013-14 or later, report Federal Veterans' Education Benefits under Award Programs 35-60. | | |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> If Award Program (FA010) is Other, specify the source or agency determining the financial award. <i>Aid from the following sources will NOT be used for the reporting campus's Net Price Calculator: Private (5), Other (8) and Unknown (9).</i> Note that Institutional grants are made from funds that flowed through institutional accounts from State and local appropriations, tuition revenue, endowment income, or charitable contributions. See http://www.highered.nysed.gov/oris/ , NYSED-4.1. Contingent |
|---|----------------------------|--|---|
| FA030 | Other Award Source | N(3,0) | |
| <i>Codes - ID/Name/Description</i> | | | |
| 001 | Federal | Federal, FAFSA not required. Includes aid supported by TRIO, ROTC, LEAP, VESID and the NSF, NIH, NIE, and U.S. Department of Health and Human Services, Interior, Defense, Veterans Affairs, Agriculture, etc. If the state determines the recipient, VESID should be shown as state aid. Otherwise, it should be shown as federal aid. | |
| 002 | State | New York State. Also includes aid from political subdivisions of New York State such as; state Merit Awards; VESID, Regents Professional Opportunity Scholarship, and others. If the state determines the recipient, VESID should be shown as state aid. Otherwise, it should be shown as federal aid. | |
| 003 | Institutional – Restricted | College or College Foundation, restricted. Includes funds which your institution could only use for student aid, such as: <ul style="list-style-type: none"> • Endowments for student aid grants • Tuition Exchange Program • State-funded tuition or other waivers of charges • SUNY Empire State Honors Scholarships • SUNY Graduate Opportunity Tuition Scholarships | |

SIRIS Data Dictionary
Financial Aid Data Submission Elements

| | | |
|--|---|--|
| | | <ul style="list-style-type: none"> • SUNY Graduate and Professional Scholarships for Economically Disadvantaged Students • SUNY Graduate Fellowships for Underrepresented Minority Students • Awards made as a result of formalized policies on benefits for employees and their dependents so long as the awards are used for enrollment at your institution. <p>Also includes funds distributed by the Research Foundation whose source is a private individual or group that has given the institution funds or an endowment to award as financial aid for a certain purpose but where the specific recipients are selected by the institution – rather than selected by the giver or their representatives.</p> |
| 004 | Institutional – Unrestricted | College or College Foundation, unrestricted. Includes funds that your institution could have used for any purpose in its budget; for example, unfunded tuition waivers used to offset normal student charges. Also includes funds distributed by the Research Foundation whose source is the institution’s general fund. |
| 005 | Private | Non-Government – aid known to your institution that is awarded directly to students from such external sources as employers, labor unions, community or religious organizations, foundations, or other educational institutions. Excludes aid from local, state or federal government programs. |
| 006 | Other State | State other than New York State. Includes aid from other nations. |
| 007 | SUNY (Category to be discontinued) | <p>State University of New York; examples include:</p> <ul style="list-style-type: none"> • Tuition Reimbursement, Graduate/Research Assistants • T.R., Economic Disadv. Grad. & Prof. Program • T.R., Grad. Opportunity Program • Grad. Fellow. - Underrep. Minority • Social service field work waivers (State Purpose) • Empire State Scholarships other than ESDHS • Critic Teacher <p>Note: The category SUNY (007) is discontinued for Award Year 2013-14 and later. Use Institutional – Restricted (003) instead.</p> |
| 008 | Other | Other source or agency |
| 009 | Unknown | Unknown |
| <i>Business Rules – Error Level/Description</i> | | |
| Fatal | Must be null if Award Program (FA010) is not Other (999). | |
| Fatal | Required if Award Program (FA010) is Other (999). | |
| Fatal | If submitted, Other Award Source must be a valid code. | |
| Fatal | If Other Award Source is SUNY (007), Award Year (FS005) must be 2012-13 or earlier. | |

| | |
|--|---|
| <i>Element ID/Name/Format/Description</i> | <i>Description:</i> If Award Program (FA010) is Other, |
|--|---|

SIRIS Data Dictionary
Financial Aid Data Submission Elements

| | | | |
|------------------------------------|--------------------------|---|---|
| FA040 | Other Award Basis | N(3,0) | indicate the basic category of student financial aid. Multiple element. Contingent |
| <i>Codes - ID/Name/Description</i> | | | |
| 001 | Need | Financial Need | |
| 002 | Merit | Academic achievement/excellence | |
| 003 | Athletic | Athletic achievement/excellence | |
| 004 | Group | Group, such as Native Americans, engineering majors, children of alumni | |
| 008 | Other | Other | |
| 009 | Unknown | Unknown | |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| <i>Business Rules – Error Level/Description</i> | |
|--|--|
| Fatal | Must be null if Award Program (FA010) is not Other (999). |
| Fatal | At least one and no more than 5 Other Award Basis elements are required if Award Program (FA010) is Other (999). Duplicate values are not allowed. |
| Fatal | If submitted, Other Award Basis must be a valid code. |
| Fatal | If Other Award Basis value of 9 (Unknown) is submitted, no other Other Award Basis values may be submitted for the Award Program. |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> If Award Program (FA010) is Other, specify the type of aid. . See http://www.highered.nysed.gov/oris/ , NYSED-4.1. Contingent |
|--|--------------------------------|--------|--|
| FA050 | Other Award Type of Aid | N(3,0) | |

| <i>Codes - ID/Name/Description</i> | | |
|---|---------------|--|
| 001 | Grant | Grant or Scholarship - Includes grants, scholarships, awards, fellowships, remissions, exemptions or other awards for which there is no expectation of repayment or services to be performed. Also includes awards made as a result of a formalized policy of benefits for your employees and their dependents if the awards are used for enrollment at your institution. |
| 002 | Work | Work Study (Wages) – Includes work study wages received as part of a student aid award during periods of enrollment. |
| 003 | Loan | Loan |
| 004 | Waiver | Waiver – waives the student from paying a required charge. Includes tuition discounts. A waiver is a disbursing award (FA055, code 1). |
| 005 | Assistantship | Assistantships provide earnings, stipends, or waivers of charges to students in exchange for services. They include graduate assistantships, teaching assistantships, research assistantships, teaching fellowships, internships, resident assistantships and similar awards regardless of their funding source. Stipends with no service obligation should be reported as grants. |
| 009 | Unknown | Unknown |

| <i>Business Rules – Error Level/Description</i> | |
|--|---|
| Fatal | Must be null if Award Program (FA010) is not Other (999). |
| Fatal | Required if Award Program (FA010) is Other (999). |
| Fatal | If submitted, Other Award Type of Aid must be a valid code. |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> Indicate whether the award was a disbursing or non-disbursing award. Contingent |
|--|---|------|--|
| FA055 | Other Award Disbursing Indicator | B(1) | |

| <i>Codes - ID/Name/Description</i> | | |
|---|-----|---|
| 1 | Yes | The award was a disbursing award, that is, a monetary award. |
| 0 | No | The award was a non-disbursing award, that is, not money but items such as books, equipment, etc. |

| <i>Business Rules – Error Level/Description</i> | |
|--|---|
| Fatal | Must be null if Award Program (FA010) is not Other (999). |
| Fatal | Required if Award Program (FA010) is Other (999). |

SIRIS Data Dictionary

Financial Aid Data Submission Elements

| | |
|-------|--|
| Fatal | If submitted, Other Award Disbursing Indicator must be a valid code. |
|-------|--|

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|-------------------|------|--|
| FA060 | Award Term | C(1) | The academic term for which the Award Program (FA010) was made. Enter 1 for the summer in the first calendar year of the award year, and 5 for the summer in the second calendar year. For example, 1 for 2009-10 means summer 2009, and 5 means summer 2010. Required |

| <i>Codes - ID/Name/Description</i> | | |
|------------------------------------|--------|--|
| 1 | Summer | Summer, year one of Award Year (FS005) |
| 2 | Fall | Fall |

| | | |
|---|---------------|---|
| 3 | Winter | Winter |
| 4 | Spring | Spring |
| 5 | Summer_II | Summer, year two of Award Year (FS005) |
| 6 | Academic Year | Entire Award Year (FS005) for this student and this Award Program (FA010) |

| <i>Business Rules – Error Level/Description</i> | |
|---|--|
| Fatal | Award Term is a required element for each Award Program (FA010), cannot be null. |
| Fatal | Award Term valid values are 1 – 6. |
| Fatal | For each Award Program (FA010), there must be at least 1 and no more than 5 Award Term(s). Duplicate values are not allowed. |
| Fatal | If Award Term value is 6, Award Program (FA010) must be Other (999) and Award Year (FS005) must be 2010-11 or earlier. |
| Warning | If Award Term value is 6, this value will expire in Award Year (FS005) 2011-12. |
| Fatal | If Award Term value of 6 (Academic Year) is submitted, no other Award Term values may be submitted for the Award Program. |
| Warning | If Award Term value is 1-5 and if COA Term(s) (FC125) are submitted, Award Term must have a corresponding COA Term with the same code. Exclude Award Terms from programs that have offers but no accepted or disbursed amounts. |
| Warning | For Award Year (FS005) 2013-14 and later, if Award Term value is 1-5 and if Award Amount Disbursed (FA090) > 0, the student must have a record of enrollment at the reporting institution for the Award Term / Award Year. |
| Fatal | No duplicate program awards may be submitted for students in the same summer term. If a student was reported in prior academic year Financial Aid Submission (FADS) as receiving a program award in Summer II, the same student cannot be reported as receiving same program award in current submission for Summer I. |

| <i>Element ID/Name/Format/Description</i> | | | <i>Description:</i> |
|---|-----------------------------|------|--|
| FA070 | Award Amount Offered | C(9) | The amount of award for an Award Program (FA010) offered to the student for the Award Term (FA060). Used to report grant or scholarship aid for the IPEDS SFA Survey, which states, "Institutions should report on grant or scholarship aid that has been awarded to students. This may be different from aid that was actually disbursed to students. For example, a student may be awarded grant or scholarship aid at the beginning of the academic year but then leave the institution before the entire amount is disbursed. In this case, you would report the original amount of grant or scholarship aid that was awarded, even though the entire amount was not actually disbursed to |

SIRIS Data Dictionary
Financial Aid Data Submission Elements

| | | |
|---|--|--|
| | | the student.” If your Student Information System does not store offered aid, report the amount of accepted aid in this field. If your system does not store offered or accepted aid, report the amount of disbursed aid in this field. Report the amount whether the award was disbursing or non-disbursing (FA055). Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Required for Award Year 2011-12 and later |
| Business Rules – Error Level/Description | | |
| Fatal | | If Award Year (FS005) is 2010-11 or earlier, at least one Award Amount—Offered, Accepted, or Disbursed—is required for each Award Term (FA060). |
| Fatal | | Required Element for Award Year 2011-12 or later; cannot be blank. |
| Fatal | | If submitted, Award Amount Offered valid values are 000001 or 000001.00, to 999999 or 999999.99. |
| Fatal | | The sum of Award Amounts Offered cannot exceed double the limit for an Award Program (FA010) in an Award Year (FS005). See Appendix T1. |
| Warning | | If submitted, value exceeds 50000. |
| Warning | | The sum of Award Amounts Offered cannot exceed the limit for an Award Program (FA010) in an Award Year (FS005). See Appendix T1. |

| Element ID/Name/Format/Description | | | Description: |
|---|------------------------------|------|--|
| FA080 | Award Amount Accepted | C(9) | The amount of award for an Award Program (FA010) accepted by the student for the Award Term (FA060). Used to report loans to students for IPEDS SFA Survey, which states, “For reporting loans to students, institutions should continue to report on loans that were awarded to and accepted by the student.” If your Student Information System does not store accepted aid, report the amount of disbursed aid in this field. Report the amount whether the award was disbursing or non-disbursing (FA055). Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Contingent |

| | | |
|---|--|---|
| Business Rules – Error Level/Description | | |
| Fatal | | If Award Year (FS005) is 2010-11 or earlier, at least one Award Amount—Offered, Accepted, or Disbursed—is required for each Award Term (FA060). |
| Fatal | | If Award Year (FS005) is 2011-12 or later and if Award Amount Disbursed (FA090) is submitted, Award Amount Accepted is required. |
| Fatal | | If submitted, Award Amount Accepted valid values are 000001 or 000001.00, to 999999 or 999999.99. |
| Fatal | | The sum of Award Amounts Accepted cannot exceed double the limit for an Award Program (FA010) in an Award Year (FS005). See Appendix T1. |
| Warning | | If submitted, value exceeds 50000. |
| Warning | | The sum of Award Amounts Accepted cannot exceed the sum of Award Amounts Offered for an Award Program (FA010). |
| Warning | | The sum of Award Amounts Accepted cannot exceed the limit for an Award Program (FA010) in an Award Year (FS005). See Appendix T1. |

| Element ID/Name/Format/Description | Description: |
|---|----------------------------------|
| | The amount of award for an Award |

SIRIS Data Dictionary
Financial Aid Data Submission Elements

| | | | |
|--|---|------|--|
| FA090 | Award Amount Disbursed | C(9) | Program (FA010) disbursed to the student for the Award Term (FA060); OR, in the case of a non-disbursing award (FA055, Code 0), the amount of award for an Award Program (FA010) that the student actually used for the Award Term (FA060), if the amount is known. Format may be in whole dollars or dollars and cents, e.g., 100 or 100.25. Optional |
| <i>Business Rules – Error Level/Description</i> | | | |
| Fatal | If Award Year (FS005) is 2010-11 or earlier, at least one Award Amount—Offered, Accepted, or Disbursed—is required for each Award Term (FA060). | | |
| Fatal | If submitted, Award Amount Disbursed valid values are 000001 or 000001.00, to 999999 or 999999.99. | | |
| Fatal | The sum of Award Amounts Disbursed cannot exceed double the limit for an Award Program (FA010) in an Award Year (FS005). See Appendix T1. | | |
| Warning | If submitted, value exceeds 50000. | | |
| Warning | The sum of Award Amounts Disbursed cannot exceed either the sum of Award Amounts Offered or the sum of Award Amounts Accepted for an Award Program (FA010). | | |
| Warning | The sum of Award Amounts Disbursed cannot exceed the limit for an Award Program (FA010) in an Award Year (FS005). See Appendix T1. | | |

¹Enter one or more spaces OR one or more pound signs (#) to indicate blank.